Survey of Thesis and Dissertations Repositories in South Africa Interim Report February 2021

Draft #1 08 February Updated 15th February

Introduction

This report is based on submissions made to an online survey of theses and dissertation repositories at Higher Education institutions in South Africa. The database was drawn up after an initial meeting to address the current state of the National database of theses and dissertations (NETD). Submission of information was voluntary and all participants at the initial meeting were encouraged to supply some basic information about their repositories.

The purpose of the survey was to establish a baseline of repository infrastructure and metadata to identify the most common characteristics. The survey can be accessed online here. The questionnaire addressed a number of basic issues such as the software and operating systems being used, dedicated human resources (staff assigned to work with the repository), technical (programming) support staff, metadata schema used and which metadata fields are used.

Responses

24 universities were listed. Data was supplied by the respondents. Where no response was received, available data was collected from the institution's repository. Repositories were traced using a Google search.

The responses to the infrastructure and support questions were as follows:

Software platform (24 responses)

DSpace = 17 VITAL = 6

Software platform version (13 responses)

DSpace 5.10 = 1

DSpace 5.5 = 2

DSpace 5.8 = 1

D0==== 0 0 = 0

DSpace 6.2 = 3

VITAL 7.01 = 6

Operating System (15 responses)

Linux = 10

Ubuntu = 4

Windows = 1

Repository Status (stand alone thesis repository or common repository containing multiple formats (24 responses)

Thesis Repository = 4 Common Repository = 20

Volume of records in the repository (21 responses)

Total number of records = 344605 Average number of records = 15663.8

Metadata Schema (24 responses)

Dublin Core = 23 MODS = 1

<u>Dedicated Staff working on the repository (14 responses)</u>

Number of staff members allocated to work in the repository ranged from 1 to 5 staff members.

Five institutions listed = $1 \times \text{staff member}$

Two institutions listed = $2 \times \text{staff members}$

Five institutions listed = $4 \times \text{staff}$ members

Two institutions listed = $5 \times \text{staff}$ members

Technical support staff assisting with the repository (14 responses)

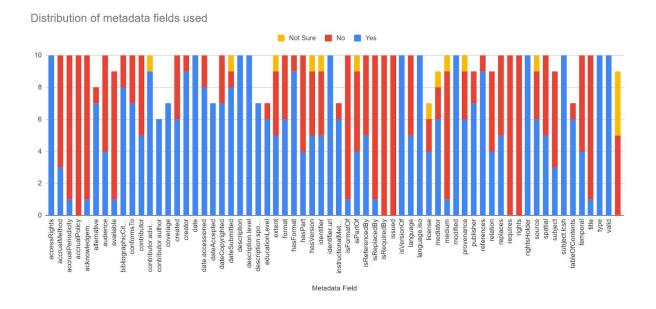
Four institutions listed = $0 \times \text{staff members}$

Two institutions listed = $2 \times \text{staff members}$

Metadata fields used

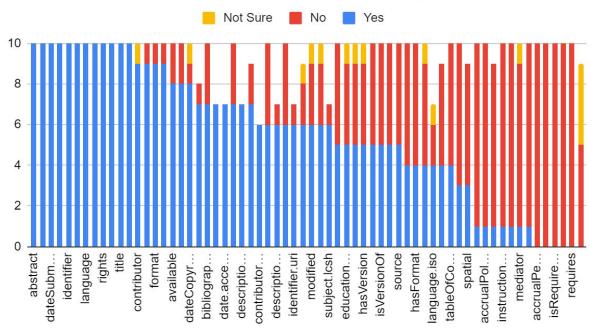
A total of 63 metadata fields were identified based on the Dublin Core metadata schema.

The distribution of **Metadata fields used** were as follows:



The following chart shows the distribution from **Most and least common metadata fields** used:





The most common fields used are as follows:

| Metadata fields most commonly used | Metadata fields least used |
|------------------------------------|----------------------------|
| abstract | accrualMethod |
| creator | language.iso |
| dateSubmitted | mediator |
| description | accrualPeriodicity |
| identifier | accrualPolicy |
| issued | audience |
| language | instructionalMethod |
| medium | isReferencedBy |
| rights | isReplacedBy |
| subject | isRequiredBy |
| title | replaces |
| type | requires |
| | temporal |
| | valid |

End 15 February 2021

Mark Snyders
Manager - Scholarly Communication
Main Library
University of the Western Cape
mpsnyders@uwc.ac.za